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0001/PTO Rev. 10/95		U.S. Department of Commerce Patent and Trademark Office	
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence during pendency of filed application)		Application Number	10/824,834
		Filing Date	April 14, 2004
		First Named Inventor	Ian Popoff
		Group Art Unit Number	1614
		Examiner Name	Unassigned
Total Number of Pages in This Submission	5 <sup>#</sup>	Attorney Docket Number	23546-07873 (HTS-013045)

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Signature:			
Attorney/Reg. No.:	Susan T. Hubl, Reg. No. 47,668	Dated:	August 4, 2004

<b>CERTIFICATE OF MAILING</b>			
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*Jeff*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Ian Popoff, et al.  
APPLICATION NO.: 10/824,834  
FILING DATE: April 14, 2004  
TITLE: Modulation of SOCS-3 Expression  
EXAMINER: Unassigned  
GROUP ART UNIT: 1614  
ATTY. DKT. NO.: 23546-7873US (HTS-0130US)

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**INFORMATION DISCLOSURE STATEMENT**

**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
IAN POPOFF, ET AL.

Dated: 8/4/04

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Substitute for form 1449A/PTO				Complete if Known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>mailed 8/4/04</i>				Application No.	10/824,834
				Filing Date	April 14, 2004
				First Named Inventor	Ian Popoff
				Art Unit	1614
				Examiner Name	Unassigned
Sheet	1	of	2	Attorney Docket Number	23546-7873US (HTS-0130US)

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
	1	WO 00/75326 A1	12-14-2000	AUERNHAMMER, ET AL.	
	2	WO 00/63357	10-26-2000	FLIER, ET AL.	
	3	WO 99/40946	08-19-1999	FLIER, ET AL.	

OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>	
	4	AUERNHAMMER, ET AL. "The central role of SOCS-3 in integrating the neuro-immunoendocrine interface". J. Clin. Invest (2001) 108:1735-1740.		
	5	BARTOE, ET AL. "Independent roles of SOCS-3 and SHP-2 in the regulation of neuronal gene expression by leukemia inhibitory factor." Mol. Brain Res. (2002) 107:108-119.		
	6	FOX, ET AL. "The possible role of TGF- $\beta$ -induced suppressors of cytokine signaling expression in osteoclast/macrophage lineage commitment in vitro." J. Immunol. (2003) 170:3679-3687.		
	7	MASUHARA, ET AL. "Cloning and characterization of novel CIS family genes." Biochem. Biophys. Res. Comm. (1997) 239:439-446.		

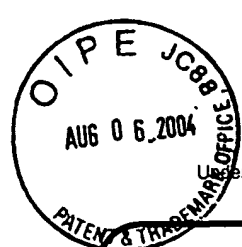
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23546/07873/SF/5125864.1



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Marked 8/4/04

**Complete if Known**

Application No.	10/824,834
Filing Date	April 14, 2004
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Examiner Name	Unassigned
Attorney Docket Number	23546-7873US (HTS-0130US)

Sheet

2

of

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**OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS**

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	8	MINAMOTO, ET AL. "Cloning and functional analysis of new members of STAT induced STAT inhibitor (SSI) family: SSI-2 and SSI-3." Biochem. Biophys. Res. Comm. (1997) 237:79-83.	
	9	RAO, ET AL. "Gene expression analysis of spontaneously hypertensive rat cerebral cortex following transient focal cerebral ischemia." J. Neurochem. (2002) 83:1072-1086.	

Examiner Signature		Date Considered	
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